

(2)

REPORT DOCUMENTATION PAGE

REPORT
Unclass

N/A SECURITY

AD-A235 921

N/A DECLASSI



1b. RESTRICTIVE MARKINGS

N/A

3. DISTRIBUTION/AVAILABILITY OF REPORT

Approved for public release;
distribution unlimited.

4. PERFORMING ORGANIZATION REPORT NUMBER(S)

Technical Report No. 5

5. MONITORING ORGANIZATION REPORT NUMBER(S)

6a. NAME OF PERFORMING ORGANIZATION

Indiana University

6b. OFFICE SYMBOL
(if applicable)

7a. NAME OF MONITORING ORGANIZATION

Office of Naval Research

7c. ADDRESS (City, State, and ZIP Code)

P.O. Box 1847
Bloomington, IN 47402

7b. ADDRESS (City, State, and ZIP Code)

536 Clark Street
Chicago, IL 60605-1588

8a. NAME OF FUNDING/SPONSORING

ORGANIZATION
Office of Naval Research8b. OFFICE SYMBOL
(if applicable)

9. PROCUREMENT INSTRUMENT IDENTIFICATION NUMBER

N00014-89-J-1775

9c. ADDRESS (City, State, and ZIP Code)

800 N. Quincy Street
Arlington, VA 22217

10. SOURCE OF FUNDING NUMBERS

PROGRAM ELEMENT NO.	PROJECT NO.	TASK NO.	WORK UNIT ACCESSION NO.

11. TITLE (Include Security Classification)

Vibrational Spectroscopy of Ordered Oxygen Adlayers on Ni/Cu(001) and
Co/Cu(001) Thin Film Systems

12. PERSONAL AUTHOR(S)

J.-S. Kim, M.H. Mohamed and L.L. Kesmodel

13a. TYPE OF REPORT

Technical

13b. TIME COVERED

FROM _____ TO _____

14. DATE OF REPORT (Year, Month, Day)

1991 May 15

15. PAGE COUNT

16. SUPPLEMENTARY NOTATION

7. COSATI CODES		
FIELD	GROUP	SUB-GROUP

18. SUBJECT TERMS (Continue on reverse if necessary and identify by block number)
cobalt, copper, oxygen, epitaxial films,
vibrational spectroscopy, high resolution
electron energy loss spectroscopy

9. ABSTRACT (Continue on reverse if necessary and identify by block number)

We report surface vibrations in c(2x2) oxygen adlayers on Ni and Co thin films on a Cu(001) substrate measured at Γ by high resolution EELS. For the Ni thin film surface, one phonon peak is measured for varying film thicknesses from 1.3 ML (monolayer) to 6 ML with a constant energy of $\sim 221\text{cm}^{-1}$. For the Co thin film surface, three loss peaks are found, whose relative intensities change as the film thicknesses are varied. One loss peak ca. 520cm^{-1} is tentatively assigned to the Fuchs-Kliewer mode of cobalt oxide (CoO). The other two peaks at 317cm^{-1} and 376cm^{-1} are likely related to different bonding sites. Surface phonons on the p(2x2) Co thin film (389cm^{-1}) and a bulk resonance mode (115cm^{-1}) are also reported.

20. DISTRIBUTION/AVAILABILITY OF ABSTRACT

 UNCLASSIFIED/UNLIMITED SAME AS RPT. DTIC USERS

21. ABSTRACT SECURITY CLASSIFICATION

Unclassified

22a. NAME OF RESPONSIBLE INDIVIDUAL

Larry L. Kesmodel

22b. TELEPHONE (Include Area Code)

(812) 855-0776

22c. OFFICE SYMBOL

DD FORM 1473, 84 MAR

83 APR edition may be used until exhausted.
All other editions are obsolete.

SECURITY CLASSIFICATION OF THIS PAGE

Unclassified

OFFICE OF NAVAL RESEARCH

Grant N00014-89-J-1775

R&T Code 413n002--01

Technical Report No. 5

Vibrational Spectroscopy of Ordered Oxygen Adlayers on Ni/Cu(001)
and Co/Cu(001) Thin Film Systems

by

J.-S. Kim, M.H. Mohamed and L.L. Kesmodel



Prepared for Publication
in
Surface Science

Indiana University
Department of Physics
Bloomington, IN 47402

Accession For	
NTIS GRA&I	<input checked="" type="checkbox"/>
DTIC TAB	<input type="checkbox"/>
Unannounced	<input type="checkbox"/>
Justification _____	
By _____	
Distribution/ _____	
Availability Codes	
Dist	Avail and/or Special
A-1	

May 15, 1991

Reproduction in whole or in part is permitted for any purpose of the
United States Government

This document has been approved for public release
and sale; its distribution is unlimited.

01 5 29 011

91-00682

Vibrational Spectroscopy of Ordered Oxygen Adlayers on Ni/Cu(001)
and Co/Cu(001) Thin Film Systems

Jae-Sung Kim*, Mohamed. H. Mohamed**, and L.L. Kesmodel

Department of Physics,

Indiana University,

Bloomington, In 47405

We report surface vibrations in c(2x2) oxygen adlayers on Ni and Co thin films on a Cu(001) substrate measured at $\bar{\Gamma}$ by high resolution EELS. For the Ni thin film surface, one phonon peak is measured for varying film thicknesses from 1.3ML(monolayer) to 6ML with a constant energy of $\sim 221\text{cm}^{-1}$. For the Co thin film surface, three loss peaks are found, whose relative intensities change as the film thicknesses are varied. One loss peak ca. 520cm^{-1} is tentatively assigned to the Fuchs-Kliewer mode of cobalt oxide (CoO). The other two peaks at 317cm^{-1} and 376cm^{-1} are likely related to different bonding sites. Surface phonons on the p(2x2) Co thin film (389cm^{-1}) and a bulk resonance mode (115cm^{-1}) are also reported.

Introduction

Epitaxial thin films usually have different lattice structures from their bulk structures, and as a result, their electronic, magnetic , elastic and chemical properties are expected to be quite different from the bulk states [1]. Early and current interest in thin films for catalytic use is suggested through application of the metastable chemical properties [2]. Recently, the magnetic properties of ultrathin films of ferromagnetic bulk material on paramagnetic substrates and the predictions of magnetic dead layers have induced strong interest in experimental and theoretical research[3]. The work to date needs structural information of thin films as an essential part, because the magnetic moments of thin films are expected to depend on the atomic volumes of the thin films[4]. Recently clean thin film surfaces of Ni[5] and Co[6] on a Cu(001) substrate were studied by HREELS (High Resolution Electron Energy Loss Spectroscopy) in order to probe their geometric and vibronic structure by the current authors. Present work reported here for surface phonons of oxygen adlayers on the epitaxial thin films were directed towards the chemical properties of the epitaxial films, e.g. the bonding properties and the vibrational properties of ordered light atoms on the thin film. Oxygen is chosen because of its chemical importance. Further, it is one of the most studied adlayer systems on bulk Ni(001)[7] and bulk Cu(001)[8], so we can directly compare its properties on the thin film surface with those on both bulk surfaces. These works combined with former works on structural and elastic properties on thin film surfaces[5][6] are expected to contribute to the systematic understanding of thin films.

Experiments

The epitaxial thin films were formed by evaporation of Co and Ni on a Cu(001) substrate near room temperature. The thickness and the uniformity of the thin films were characterized by AES (Auger Electron Spectroscopy), and the pseudomorphic growth and the order of the thin films were monitored by LEED (Low Energy Electron Diffraction). A detailed description of thin film preparation is found in references [5][6]. Surface vibrational modes are measured near room temperature with HREELS of resolution ~4 meV determined from the full width at half maximum of the elastic peak measured in the specular direction[11]. All the data reported here were measured with primary electron energies less than 10 eV. The system pressure throughout the thin film preparation and phonon measurements was maintained $\sim 3 \times 10^{-11}$ Torr.

C(2x2) oxygen adlayers on both (unannealed) Co and (annealed) Ni epitaxial thin films were prepared by dosing oxygen through a conventional leak valve and the oxygen partial pressure in the chamber was monitored by a mass spectrometer (Inficon, Quadrex 100). For all the experiments, 8 Langmuir(L) of oxygen was dosed at room temperature. Since the sample is positioned to face the leak valve, the oxygen partial pressure on the sample surface is expected to be higher than the calibrated partial pressure in the chamber. For the Co thin film, a p(2x2) adlayer was formed by dosing oxygen by the minimum adjustable values with the leak valve. After oxygen dosage, the LEED spots were dim and blurred, but still showed definite c(2x2) and p(2x2) patterns. After annealing the sample at 440° K for

5 minutes, the LEED pattern sharpened and exhibited very low diffusive background. Auger spectra remained as before annealing the sample. In the following discussion, this sample preparation procedure is referred to as the "standard procedure".

Clean Ni thin films did not show any interdiffusion of Ni and Cu even after annealing to ~490K[5]. For Co thin films without adsorbed oxygen, even annealing the sample at lower temperatures (~440K) caused interdiffusion of Co and Cu, judged from the simultaneous increase of Cu AES peaks and decrease of Co AES peaks. A recent comprehensive study on Co thin film growth on a Cu(001) substrate showed similar behavior[10]. This interdiffusion is confirmed by experiments summarized in Fig. 1. We measured phonon spectra with HREELS in the specular position on three differently prepared surfaces: Spectrum A is the surface phonon data on the sample surface prepared by the standard procedure. Spectrum B is the phonon spectrum on the surface where the same amount of oxygen is dosed on a pre-annealed Co film. We found a dim and blurred but clearly discernable c(2x2) LEED pattern on this surface. Finally, Spectrum C is the phonon spectrum where the same amount of oxygen is dosed on the clean Cu substrate. For this case no fractional-order LEED spots were detected. We notice the similarity of spectra B and C and the quite distinct character of spectrum A. This is consistent with the interpretation of the Auger spectrum change as due to the interdiffusion of Co and Cu on the clean Co thin film, and the stability of the Co thin film prepared with an oxygen adlayer. A broad shoulder in the high energy side of the B spectrum is believed to arise from remnant Co on the Cu substrate surface. The surface free energy of the thin film is thought to be lowered by the chemisorption of oxygen, making the Co thin film more stable. The stability of O/Co/Cu(001)

system is also confirmed by comparison of the phonon data before and after annealing the c(2X2) adlayer system prepared on the un-annealed Co thin film as shown in Fig. 2. From the figure we can see no qualitative change in the spectrum occurs in traces A and B. Annealing has only improved the order of the surface, resulting in the resolution of two peaks from the one broad peak. This shows the possible usage of oxygen as a segregating surfactant[11] (surface active species) which stabilizes the overlayer by lowering its surface free energy in heteroepitaxial growth.

Results and Discussion

a. C(2x2) Oxygen adlayer on Ni/Cu(001) system

In Fig. 3 are shown very intense loss spectra measured at the zone center $\bar{\Gamma}$ for several different film thicknesses. The mode energy is around 221cm^{-1} . A wide range of incident electron energies was employed to find other modes at $\bar{\Gamma}$ and only null results were obtained. This mode is tentatively assigned to the oxygen vibration normal to the film surface because this mode generates a prominent dipole field in vacuum, as has been shown for the bulk surface[7]. This is consistent with the prediction from the generally accepted position of the oxygen atom, in a hollow site made by four surface Ni atoms for c(2x2) oxygen adlayer on the bulk Ni(001) surface. The local symmetry around the oxygen atom is then C_{4v} and the oxygen modes at $\bar{\Gamma}$ are polarized strictly perpendicular (the A_1 mode) and parallel (the E modes) to the surface. Then from the dipole selection rule[12] only the A_1 mode is observable in specular direction. For the bulk Ni surface the energy of this A_1 mode is 320cm^{-1} [7]. If the above assignment is correct, we are seeing a dramatic softening of this mode by 100cm^{-1} . Assuming the generally accepted position of oxygen in c(2x2) O/bulk Ni, 0.9\AA above the hollow site[7], this softened phonon energy implies ~50 % weakening of oxygen-Ni spring constant from that on the bulk Ni surface[13].

A recent x-ray photoelectron spectroscopy (XPS) study[14] reported that Ni films thicker than 3ML showed similar reactivity as bulk Ni in the formation of Ni oxide on the (001) surface. Abu-Joudeh et al.[15] concluded

that the behavior of the film surface towards oxygen chemisorption is similar to that of bulk Ni from the similarity of EELS [16] (not HREELS) and AES spectra for both the thin film surface and the bulk surface, even for Ni films of around 1ML thickness. Surface phonon studies which work in a lower energy scale (meV range) than XPS, EEL, and AES (eV range), prove to be more sensitive probes for detecting certain differences between the bulk and thin film surfaces.

b. C(2x2) oxygen adlayer on Co/Cu(001) system

In Fig. 4, phonon spectra measured in the specular direction for film thicknesses of 0.7ML, 2ML, 3ML, and more than 6ML are shown. All adlayers are prepared through the standard procedure as described in the former section. Three distinct peaks are found above 300cm^{-1} and all are attributed to oxygen-metal vibrational modes, given that their energies are larger than the bulk phonon band edge of bulk Ni which shows a higher Debye temperature than those of both Cu and Co. Their relative intensities change as the film thickness varies. This is strikingly different from the behavior of c(2x2) O/Ni/Cu(001) system, where we find only one peak for thicknesses measured [Fig. 3]. For the thinnest layer, 0.7ML, two peaks with energies 376cm^{-1} (type B) and 473cm^{-1} (type C) are prominent. For thick films, greater than 6ML, we found one dominant peak with energy 317cm^{-1} (type A), a small peak with energy 523cm^{-1} and a small shoulder reminiscent of type B phonon. For type A and type B phonons, the peak positions did not change, but the type C phonon showed an energy shift as the thickness of the film increased (as shown in Fig. 5), converging to 523cm^{-1} . For the 2ML film, both limiting film thickness features contribute

giving rise to a broadened peak for type B phonons with appreciable contribution from the type A phonon intensity and a very strong peak of type C. For the 3ML film, the thick film features begin to dominate as shown by a sharp 317cm^{-1} peak with a very small shoulder for the type B phonon.

All three peaks mentioned above show sharp intensity reduction as the measurements depart from the specular direction, as expected for dipole scattering. We measured the phonon spectra for several different incident energies from 2 eV to 40 eV, and no noticeable changes in the relative intensity ratios of peaks were found. Therefore, the spectral changes of Fig. 4 are attributed to intrinsic properties of the sample, not to the artifacts from the method of probing the sample.

From the literature[7], we find that a $q_{||}=0$ Fuchs-Kliewer mode of bulk cobalt oxide (CoO) appears around $517\text{-}522\text{cm}^{-1}$, which is near the value of the type C phonon energy of the thick Co film. For a thin oxide film on a metal substrate we are expected to find ω_{LO} (longitudinal optical phonon) of bulk cobalt oxide[18] with energy 545cm^{-1} . We tentatively identify the type C phonon as the Fuchs-Kliewer mode of the cobalt oxide film. This interpretation is supported by the recent experimental result from the x-ray search light effect, that Co films up to 2ML include appreciable amounts of clusters[19]. We attribute the large relative intensity of the type C phonon in the thin overlayer (less than 2ML) to be the effect of the enhanced chemical activity of clusters to form oxide. The dramatic drop of the type C intensity for the 3ML film is then an indication of a more planar surface with a reduced number of edge sites associated with cluster imperfections. This interpretation is consistent with the finding of an ideal layer-by-layer growth for 3ML Co films[19]. For thick films, misfit dislocations develop[19,21], offering chemically active sites and

low thickness features, type B and C phonon intensities, grow again. The behavior of the type C phonon frequency with layer thickness [fig. 5] may have its explanation in the dependence of oxide frequency on oxide cluster size. Finally, the increase in the intensity of the type C phonon after annealing the sample can be attributed consistently to the oxidation of cobalt [Fig. 6].

For all thicknesses of the films, we confirmed the c(2x2) oxygen structure with LEED. If oxygen is assumed to occupy the four fold hollow site as is assumed for Ni thin films, we expect only one dipole active vibration at $\bar{\Gamma}$ from the symmetry. The observation of two dipole active modes suggest that oxygen may adsorb at two different sites ,e.g. both bridge sites and four-fold hollow sites, forming two domains of c(2x2) adlayers, which are not distinguishable in the LEED pattern. Then the change of the relative intensity of type A and type B phonon intensities would reflect the relative domain populations as the film thickness varies. Falo et al.[21] studied the CO chemisorption properties on Co thin films of varying thicknesses. They observe the appearance of new peaks in the thermal desorption spectra of CO, which are related to increasingly large number of edge atoms in Co clusters for Co films less than 1ML thickness. This may imply that for films less than 2ML, the number of low coordination number sites (edge sites) associated with clusters are increasing, and they offer a new environment favorable for a new domain for the type B phonon. One other possible answer to the observation of two peaks in specular position is the coexistence of p(2x2) adlayer which is hidden in the LEED pattern. This possibility is excluded because of the finding of a well defined peak on the p(2x2) oxygen adlayer with energy

389cm^{-1} [Fig. 7]. In Fig. 8, we also report the finding of a bulk resonance mode of energy 115cm^{-1} .

Conclusions

In summary, the thin film systems of nickel and cobalt on Cu(001) in the ultrathin regime appear to be quite different. Vibrational data for nickel shows rather ideal growth, in the sense that the oxygen HREELS spectra exhibit a single mode of constant frequency as a function of film thickness. It is interesting to note, however, that this mode is markedly lower in frequency than for bulk nickel.

For cobalt the HREELS spectra are substantially more complex and suggest the presence of clustering at coverages below 2ML as well as oxide formation. By 3ML and higher the films appear to exhibit fewer defects and a lower tendency toward oxide formation. These results, based on a simple, qualitative interpretation of the spectra, demonstrate the ability of HREELS in combination with a probe species (oxygen) to investigate the nature of thin film growth.

Acknowledgements

Y. Chen read through the manuscript and provided critical comments. Discussion with M. Chisolm and W.L. Schaich is appreciated (J.K.). This work was partially supported by the Office of Naval Research and by the U.S. Department of Energy through Grant No. DE-FG02-84ER45147.

* Present address: Max Planck Institut fur Feskoperforschung, D-7000,
Stuttgart 80, Germany.

**Present address: Department of Physics, Metropolitan State College of
Denver, P.O. Box 173362, Denver CO 80217-3362.

References

1. For overview, J.P. Biberian and G.A. Somorjai, *J. Vac. Sci. Technol.* **16**, 2073 (1979).
E. Bauer and J.H. van der Merwe, *Phys. Rev. B* **33**, 3657 (1986).
2. J.H. Sinfelt, *Rev. Mod. Phys.* **51**, 569 (1979).
3. For a comprehensive review,
Appl. Phys. A **49**, 437 - 629 (1989).
L.M. Falicov et.al, *J. Mat. Res.* **5**, 1299 (1990).
4. V.L. Moruzzi *Phys. Rev. Lett.* **57** 2211 (1986).
5. M.H. Mohamed, J.-S. Kim, and L.L. Kesmodel,
Phys. Rev. B **40**, 1305 (1989).
Y. Chen, S.Y. Tong, J.-S. Kim, M.H. Mohamed, and L.L. Kesmodel, *Phys. Rev. B* **43**, 6788 (1991).
6. M.H. Mohamed, J.-S. Kim, and L.L. Kesmodel,
Surf. Sci. Lett. **220**, 687 (1989).
7. J.M. Szeftel, S. Lewald, *Surf. Sci.* **143**, 11 (1984).
8. M. Wuttig, R. Franch, and H Ibach,
Surf. Sci. **213**, 103 (1989)
9. L.L. Kesmodel, *J. Vac. Sci. Technol. A*, **1**, 1456 (1983)

10. G.J. Mankey, M.T. Kief, and R.F. Willis,
37th AVS Conference Proceeding (to be published).
11. M. Copel, M.C. Reuter, E. Kaxiras, and R.M. Tromp,
Phys. Rev. Lett. **63**, 632 (1989)
12. H. Ibach and D.L. Mills, Electron Energy Loss Spectroscopy and Surface Vibrations, (Academic Press, New York, 1982).
13. Y. Chen (Private Communication).
14. K. Kishi and T. Fujita, Surf. Sci. **227**, 107 (1990).
15. M.A. Abu-Johdeh, B.M. Davies and P.A. Montano,
Surf. Sci. **171**, 331 (1986).
16. G. Ertl and J. Küppers, Low Energy Electrons and Surface Chemistry, chap 8, (VCH, 1985).
17. P.J. Gielisse, J.N. Pendl, L.C. Mansur, R. Marshall,
S.S. Mitra, R. Mykolajewycz, and A. Smakula,
J. Appl. Phys. **36**, 2446 (1965).
18. H. Ibach and D.L. Mills, ibid, section 3.4.
19. H. Li and B.P. Tonner, Surf. Sci. **237**, 141 (1990).
20. W.A. Jesser and J.W. Matthews, Phil. Mag. **17**, 461 (1968).
21. F. Falo, I. Cano, and M. Salmeron,
Surf. Sci. **143**, 303 (1984).

Figure Captions

Figure 1. HREELS spectra from three differently prepared surfaces [A: standard procedure, B: O/ annealed Co/Cu(001), C: O/clean Cu(001)]. The similarity of B and C spectra and the distinct A spectrum confirms the interdiffusion of Co and Cu when annealed without oxygen adlayer and the stabilization of Co layer by the formation of the oxygen adlayer. *:Scale change

Figure 2. HREELS spectra before and after annealing of Co film with oxygen adlayer. *:Scale change

Figure 3. Oxygen adlayer phonon for Ni films of varying film thicknesses. In contrast to Co film, they are identical for all films with energy 221cm^{-1} measured at $\bar{\Gamma}$. *:Scale change

Figure 4. Oxygen adlayer phonons on Co films of varying thicknesses measured at $\bar{\Gamma}$, [I: 0.7ML, II: 2ML, III: 3ML, IV: thicker than 6ML]. *:Scale change

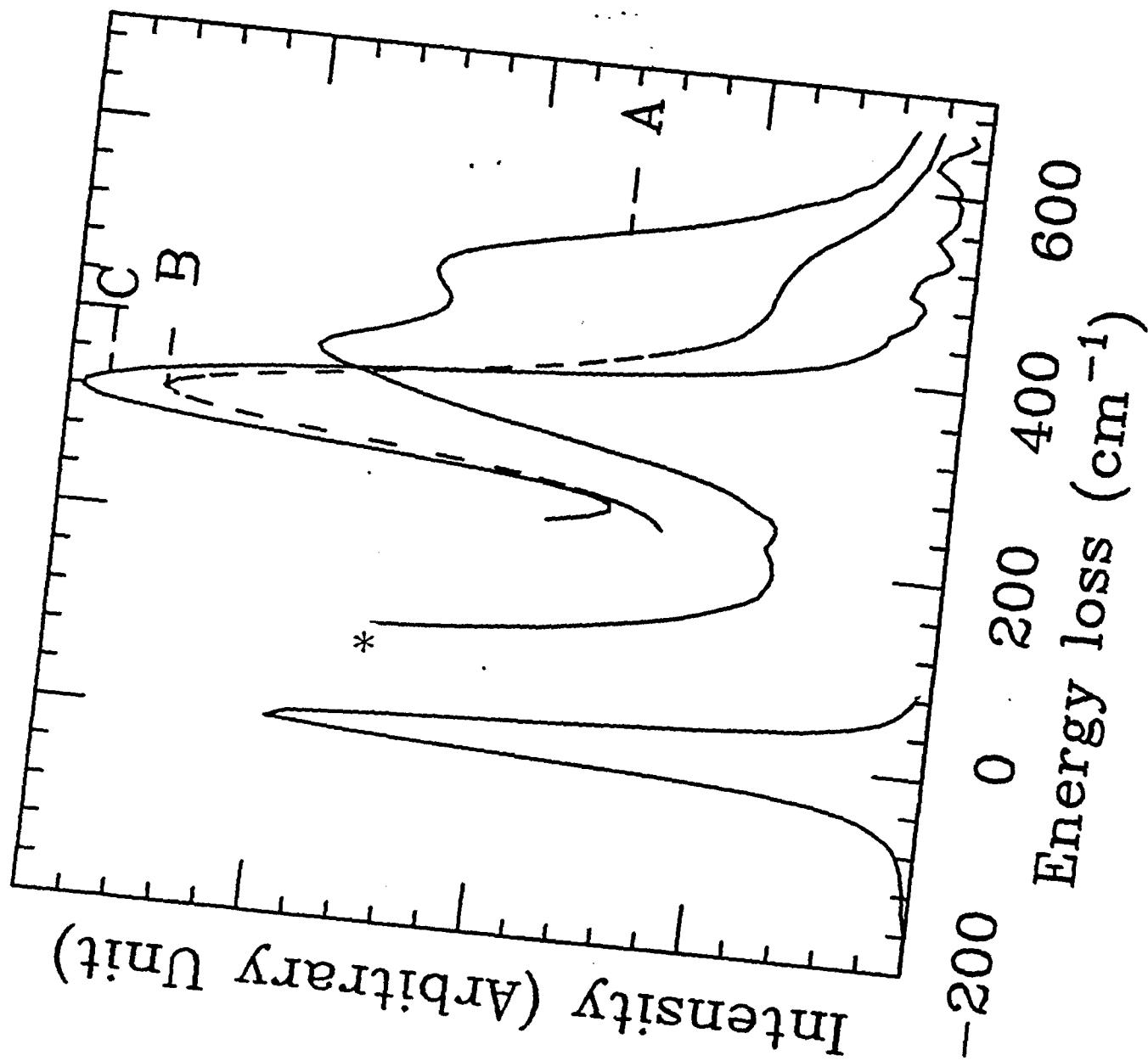
Figure 5. Energy of type C phonon as a function of film thickness. It converges to a value, 523cm^{-1} , around 3ML thick film.

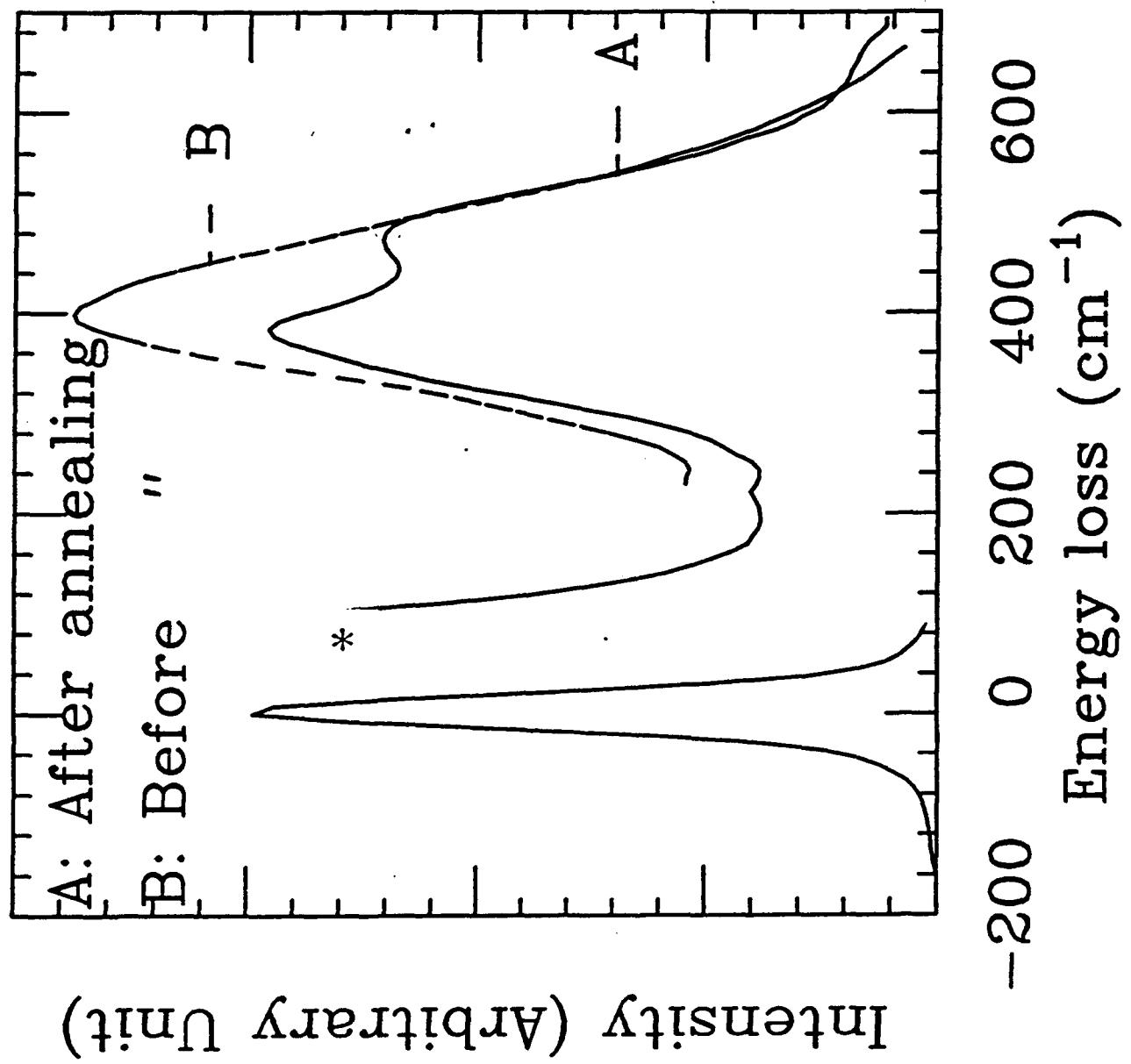
Figure 6. HREELS spectrum of O(>6ML) Co/ Cu(001) measured at $\bar{\Gamma}$. *:Scale change

Figure 7. Oxygen adlayer phonon for a p(2x2) oxygen adlayer on thick Co film on Cu(001). Only one well defined peak is found with energy 389cm^{-1} .

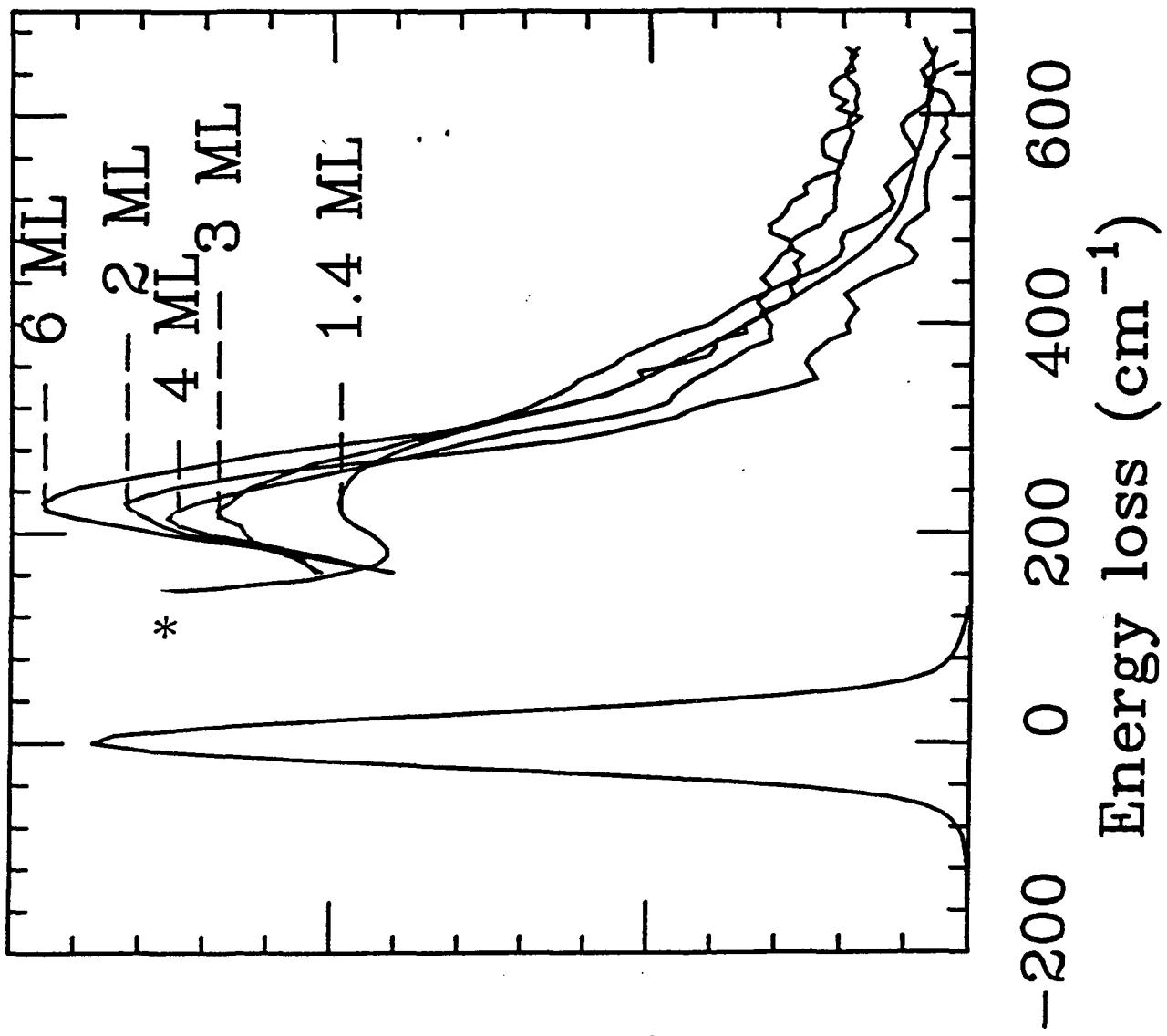
Figure 8. 10° off specular spectrum on c(2x2) O/ 3ML Co/Cu(001) surface. A new peak with energy 115cm^{-1} is found and attributed to bulk resonance

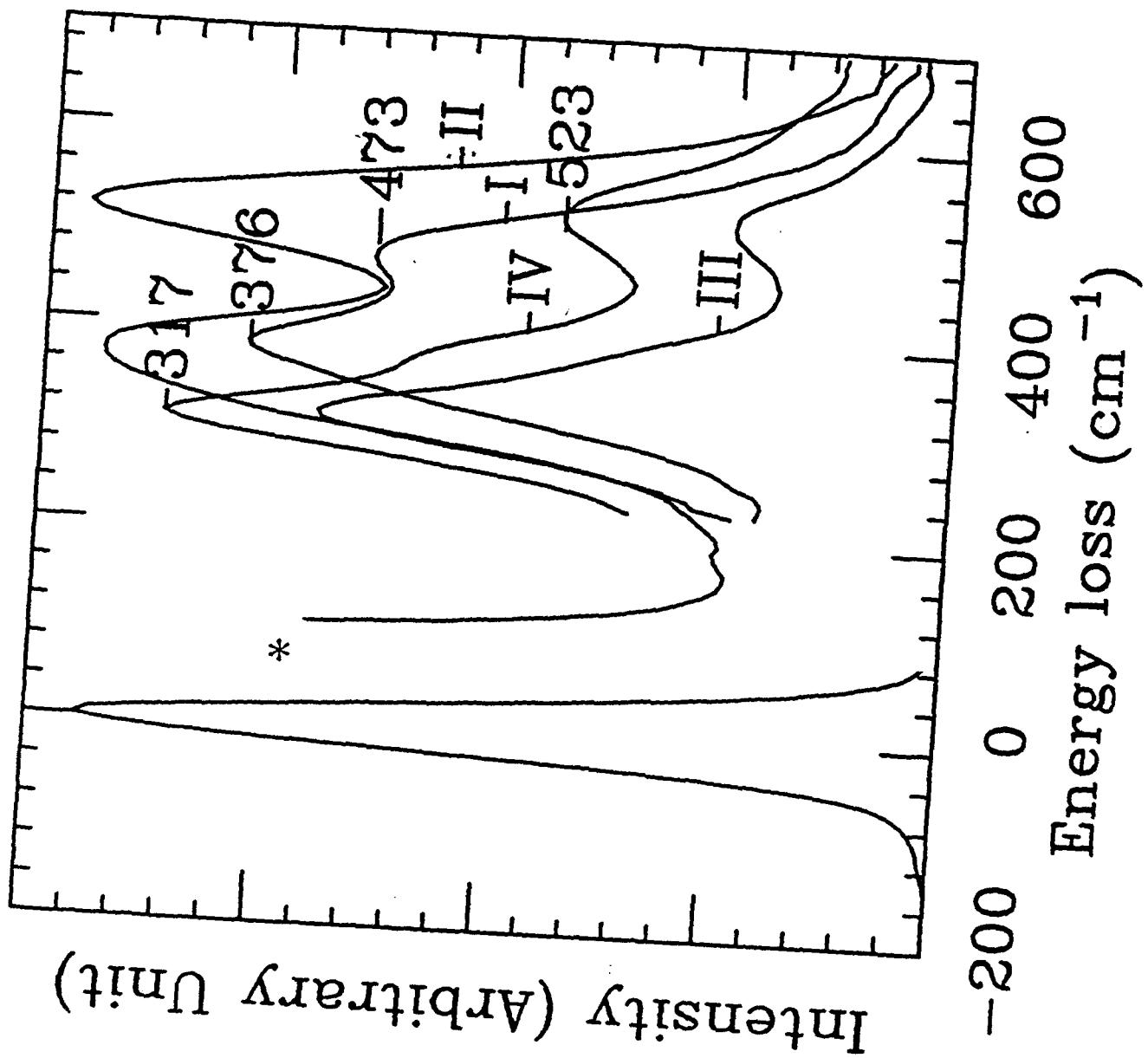
mode. This mode is also observed at the zone center as an unresolved shoulder near the elastic peak.

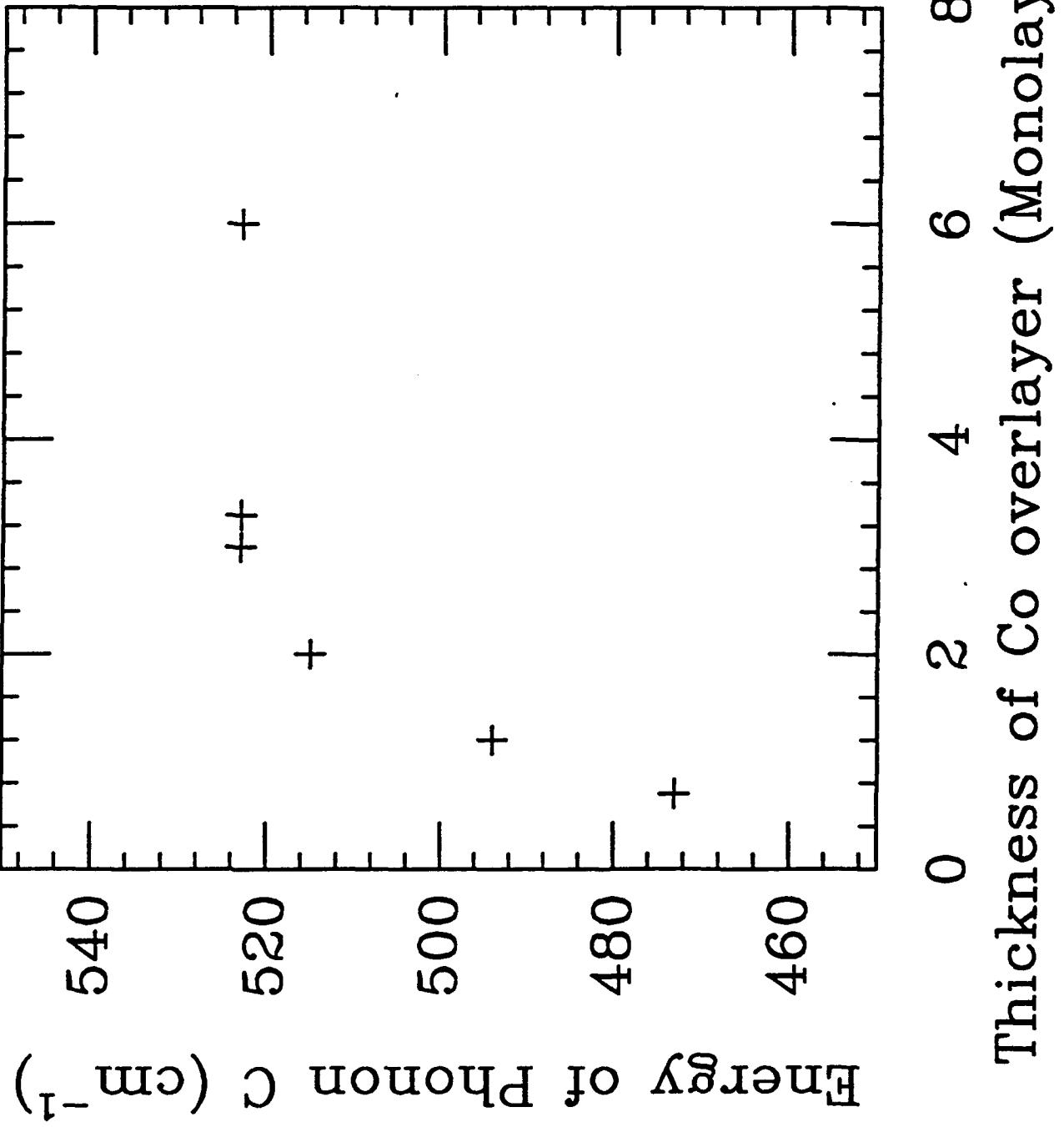


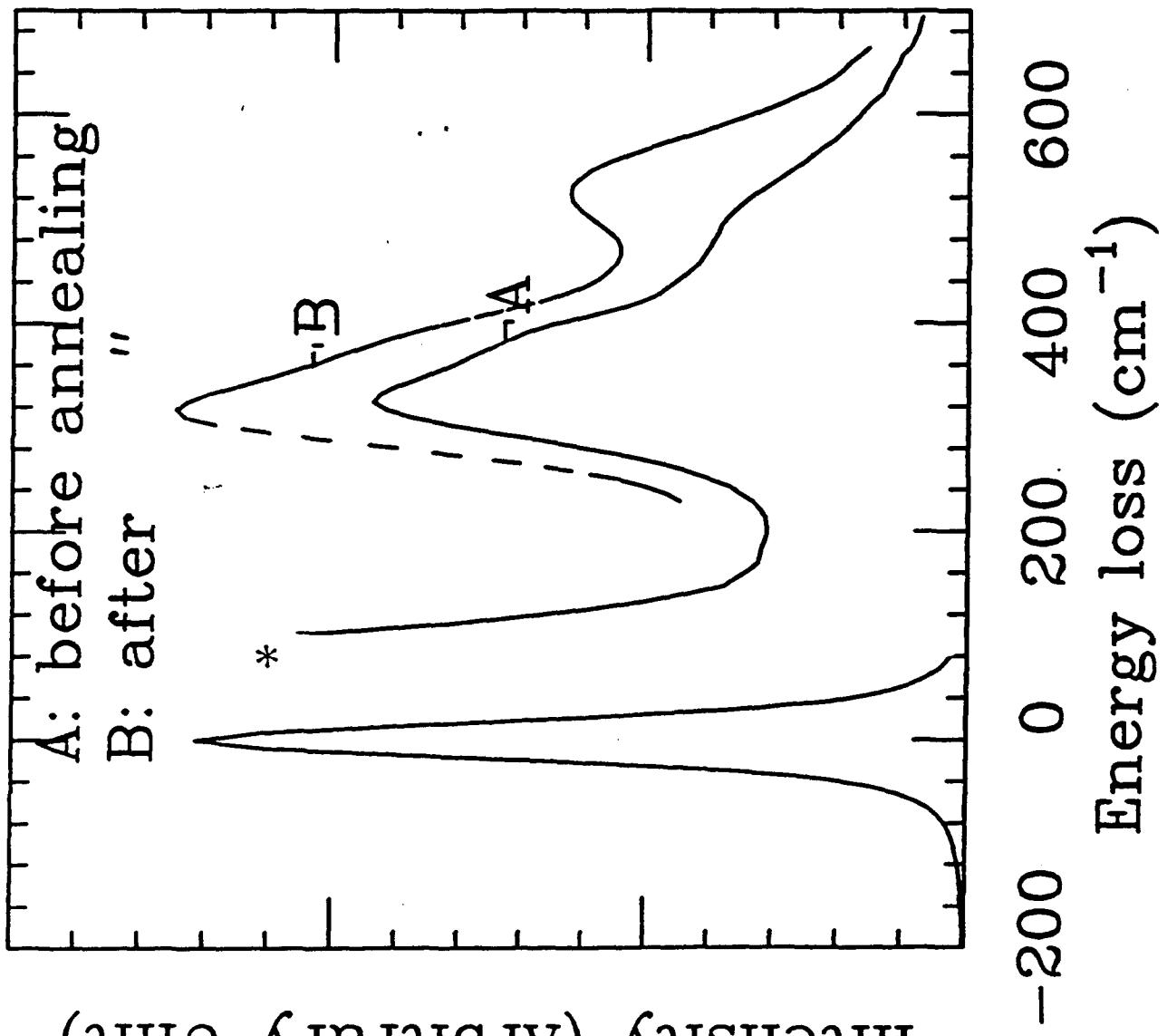


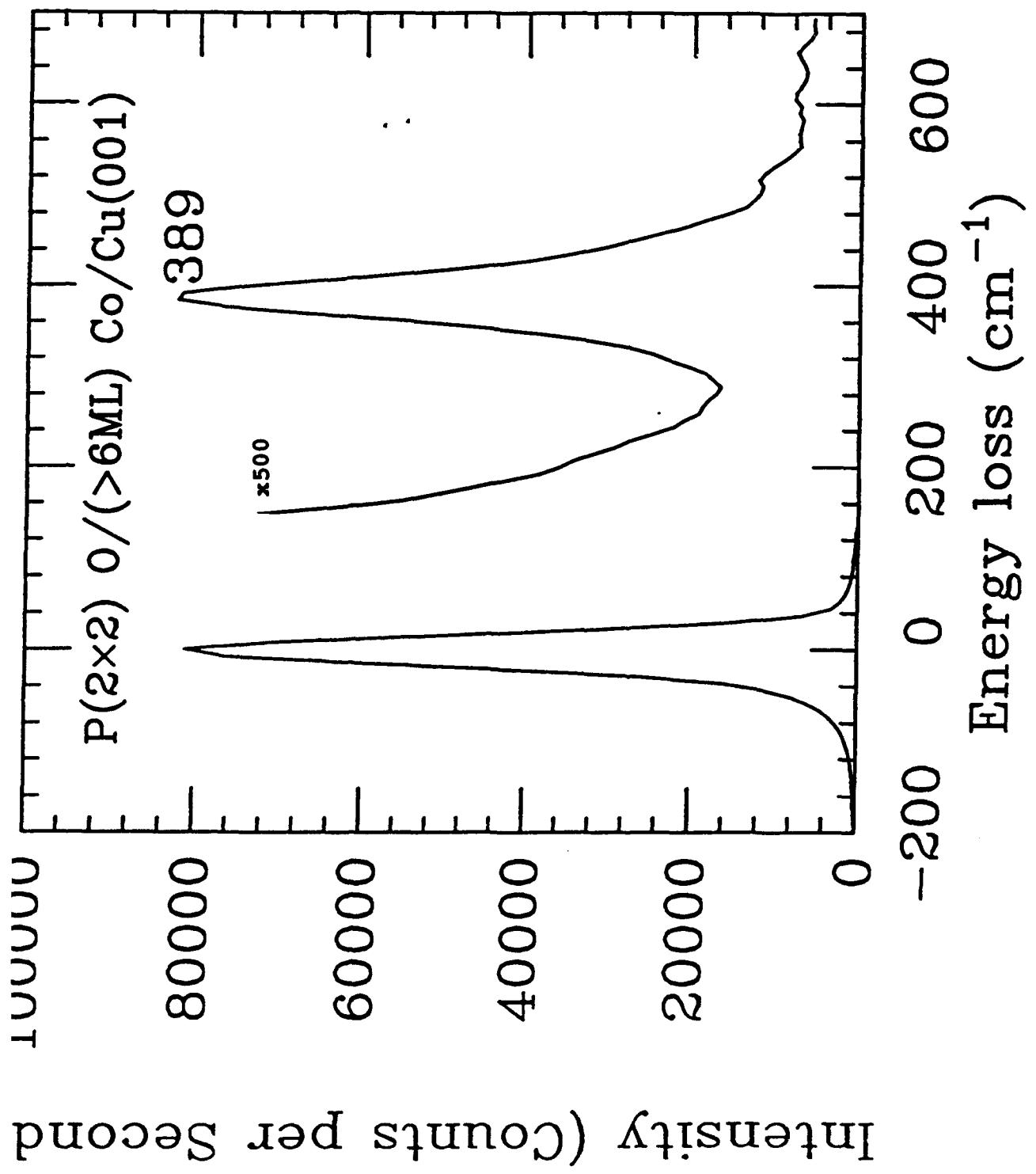
Intensity (Arbitrary Unit)

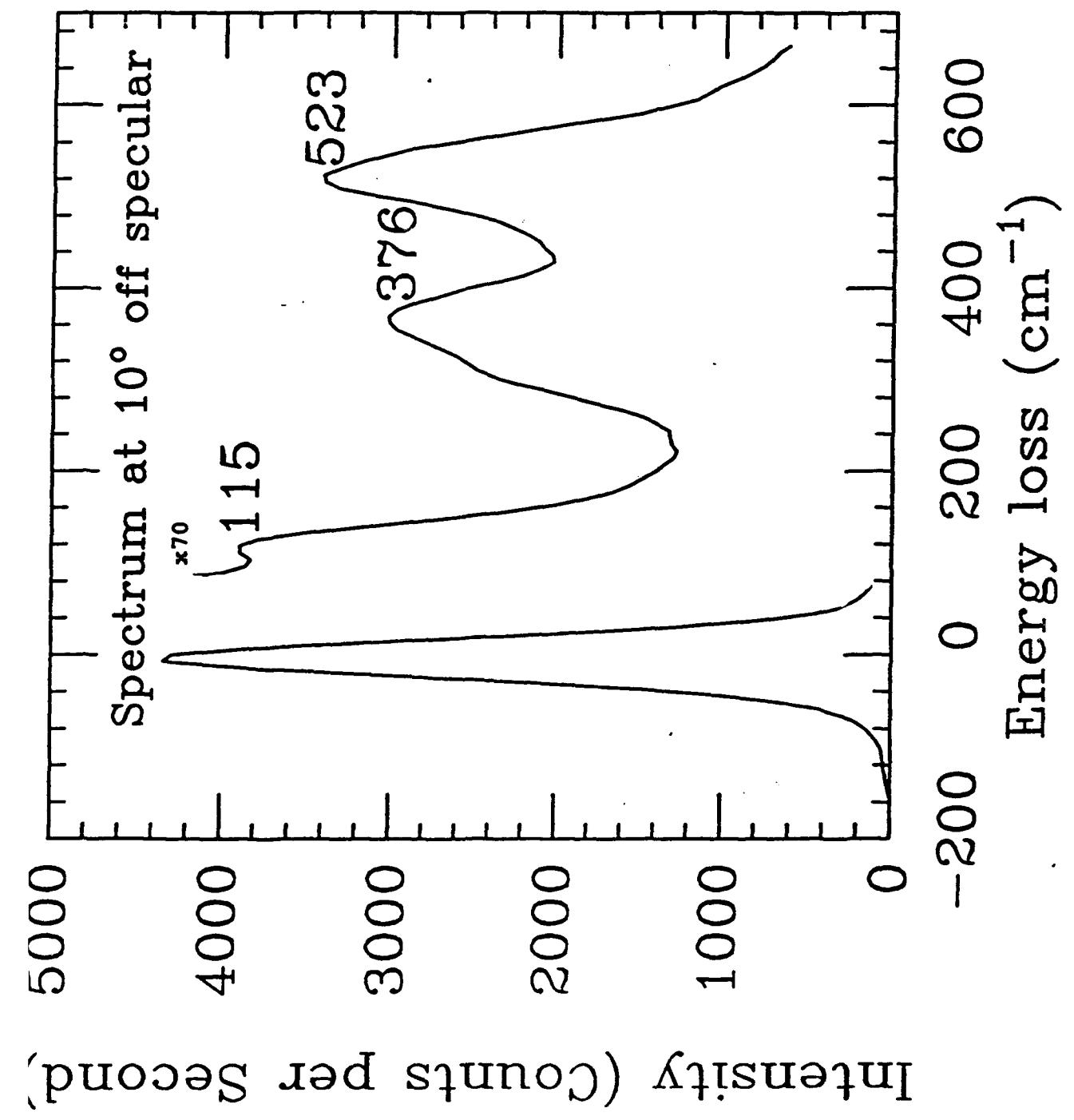












TECHNICAL REPORT DISTRIBUTION LIST - GENERAL

Office of Naval Research (2)*
Chemistry Division, Code 1113
800 North Quincy Street
Arlington, Virginia 22217-5000

Dr. Richard W. Drisko (1)
Naval Civil Engineering
Laboratory
Code L52
Port Hueneme, CA 93043

Dr. James S. Murday (1)
Chemistry Division, Code 6100
Naval Research Laboratory
Washington, D.C. 20375-5000

Dr. Harold H. Singerman (1)
David Taylor Research Center
Code 283
Annapolis, MD 21402-5067

Dr. Robert Green, Director (1)
Chemistry Division, Code 385
Naval Weapons Center
China Lake, CA 93555-6001

Chief of Naval Research (1)
Special Assistant for Marine
Corps Matters
Code 00MC
800 North Quincy Street
Arlington, VA 22217-5000

Dr. Eugene C. Fischer (1)
Code 2840
David Taylor Research Center
Annapolis, MD 21402-5067

Defense Technical Information
Center (2)
Building 5, Cameron Station
Alexandria, VA 22314

Dr. Elek Lindner (1)
Naval Ocean Systems Center
Code 52
San Diego, CA 92152-5000

Commanding Officer (1)
Naval Weapons Support Center
Dr. Bernard E. Douda
Crane, Indiana 47522-5050

* Number of copies to forward